

## ORDINANCE NO. 17/18-B-01

### A COMBINED ANNUAL BUDGET AND APPROPRIATIONS ORDINANCE FOR THE ROCK RIVER WATER RECLAMATION DISTRICT FOR THE FISCAL YEAR BEGINNING MAY 1, 2017, AND ENDING APRIL 30, 2018

SECTION I. That the following budget, containing an estimate of the cash balances at the beginning of the fiscal year, the revenues to be received during the fiscal year from all sources and expenditures contemplated for such fiscal year, and a statement of the estimated cash balances at the end of such year, be and the same is hereby adopted as the Combined Annual Budget of the Rock River Water Reclamation District, Rockford, Illinois, for the fiscal year beginning May 1, 2017, and ending April 30, 2018:

**Estimated Cash Balances as of May 1, 2017**

|                            |               |                      |
|----------------------------|---------------|----------------------|
| General Operations Fund    | \$ 15,381,997 |                      |
| Public Benefit Fund        | \$ 1,630,000  |                      |
| Special Assessment Fund    | \$ 2,600,000  |                      |
| Bond Fund                  | \$ -          |                      |
| <b>Total Cash Balances</b> |               | <b>\$ 19,611,997</b> |

**Plus Estimated Cash Receipts**

|  |               |                      |
|--|---------------|----------------------|
| <b>User Charges for Wastewater Treatment</b> |               | \$ 33,363,742        |
| <b>Taxes</b>                                 |               |                      |
| Replacement Taxes                            | \$ 1,575,000  |                      |
| Property Taxes:                              |               |                      |
| Corporate Levy                               | \$ 3,740,000  |                      |
| Chlorination Levy                            | \$ 815,000    |                      |
| Special Service Area Levy                    | \$ 1,800      |                      |
| Public Benefit Levy                          | \$ 500,000    |                      |
| <b>Total Taxes</b>                           |               | \$ 6,631,800         |
| <b>Other Fees and Charges</b>                |               |                      |
| Collection Charges and Penalties             | \$ 471,150    |                      |
| Connection Fees and Frontage Charges         | \$ 475,400    |                      |
| Inspection Fees                              | \$ 237,356    |                      |
| Miscellaneous Other Charges                  | \$ 227,179    |                      |
| <b>Total Other Charges</b>                   |               | \$ 1,411,085         |
| <b>Other Receipts</b>                        |               |                      |
| State Revolving Loan                         | \$ 23,780,000 |                      |
| Commercial Loan / Bond Issue                 | \$ -          |                      |
| Rental Income                                | \$ 152,000    |                      |
| Interest                                     | \$ 94,286     |                      |
| <b>Total Other Receipts</b>                  |               | \$ 24,026,286        |
| <b>Total Estimated Receipts All Funds</b>    |               | <b>\$ 65,432,912</b> |

|  |                   |                      |
|--|-------------------|----------------------|
| <b>Total Beginning Cash Balance and Estimated Receipts All Funds</b> |                   | <b>\$ 85,044,909</b> |
| <b>Estimated Expenditures</b>  |                   |                      |
| <b>Operating Expenditures</b>  |                   |                      |
| Departmental Expenditures  | \$ 21,799,580     |                      |
| Contingency  | <u>\$ 750,000</u> |                      |
| <b>Total Operating Expenditures</b>                                  |                   | \$ 22,549,580        |
| <b>Capital Outlays (Including Contingency)</b>                       |                   | \$ 45,460,017        |
| <b>Public Benefit Expenditures for Special Assessment Projects</b>   |                   | <u>\$ 1,252,000</u>  |
| <b>Total Estimated Expenditures</b>                                  |                   | <b>\$ 69,261,597</b> |
| <b>Loan Payments</b>   |                   | <b>\$ 7,334,606</b>  |
| <b>Total Appropriations</b>  |                   | <b>\$ 76,596,203</b> |
| <b>Estimated Cash Balances as of April 30, 2018</b>                  |                   | <b>\$ 8,448,706</b>  |

**SECTION II.** That the several amounts set opposite the several objects and purposes listed below are hereby appropriated for the fiscal year commencing May 1, 2017, and ending April 30, 2018, which appropriations shall constitute the appropriation ordinance of said District for the aforementioned fiscal year.

#### **APPROPRIATION**

The following sums are hereby appropriated for the purpose set opposite said sums for said fiscal year:

#### **GENERAL OPERATIONS ENTERPRISE FUND:**

##### **Operations and Maintenance**

###### **Personnel**

|                              |                  |                      |
|------------------------------|------------------|----------------------|
| Salaries                     | \$ 8,446,989     |                      |
| Employee Insurance           | \$ 2,458,198     |                      |
| IMRF Employer Contribution   | \$ 936,427       |                      |
| FICA Employer Contribution   | \$ 709,043       |                      |
| Worker's Compensation        | \$ 485,475       |                      |
| Miscellaneous Benefits       | <u>\$ 15,110</u> |                      |
| <b>Total Personnel Costs</b> |                  | <b>\$ 13,051,242</b> |

###### **Supplies**

|   |                  |                     |
|---|------------------|---------------------|
| Departmental Supplies                       | \$ 1,097,850     |                     |
| Periodicals, Postage and Reproduction Costs | \$ 55,220        |                     |
| Small Tools & Equipment                     | \$ 135,404       |                     |
| Chemicals                                   | \$ 551,007       |                     |
| Other                                       | <u>\$ 45,350</u> |                     |
| <b>Total Supplies</b>                       |                  | <b>\$ 1,884,831</b> |

###### **Contractual**

|                                  |              |  |
|----------------------------------|--------------|--|
| Professional & Legal Services    | \$ 190,550   |  |
| Outside Services                 | \$ 1,452,250 |  |
| Solid Waste Disposal             | \$ 256,000   |  |
| Leases and Maintenance Contracts | \$ 775,080   |  |
| Business Insurance               | \$ 392,707   |  |
| Natural Gas                      | \$ 111,200   |  |

|  |    |         |                      |
|--|----|---------|----------------------|
| Electricity                              | \$ | 716,700 |                      |
| Other Utilities                          | \$ | 174,220 |                      |
| Generator Maintenance                    | \$ | 170,340 |                      |
| Education, Travel, Dues & Memberships    | \$ | 179,890 |                      |
| Bank Fees & Credit Card Charges          | \$ | 107,500 |                      |
| Sewer Call Expense                       | \$ | 40,000  |                      |
| Miscellaneous Expense                    | \$ | 257,790 |                      |
| <b>Total Contractual Expenses</b>        |    |         | \$ 4,824,227         |
| Agricultural Land Expenses               |    |         | \$ 51,680            |
| Repairs & Maintenance                    |    |         | \$ 1,987,600         |
| <b>Total Departmental Expenses</b>       |    |         | <b>\$ 21,799,580</b> |
| <b>Emergency Contingency for O&amp;M</b> |    |         | <b>\$ 750,000</b>    |

### Capital Improvement, Replacement Projects and Equipment

#### Treatment Plant Improvements

|  |    |           |                     |
|--|----|-----------|---------------------|
| Landscaping and Grounds Improvements                   | \$ | 50,000    |                     |
| Other Unidentified Projects                            | \$ | 250,000   |                     |
| Nutrient Removal                                       | \$ | 250,000   |                     |
| Property Purchases                                     | \$ | 100,000   |                     |
| Administration Building Remodeling                     | \$ | 50,000    |                     |
| Painting & Coating - Lift Stations and Treatment Plant | \$ | 50,000    |                     |
| Collection System & Headworks Security                 | \$ | 25,000    |                     |
| Grease Dumping Station                                 | \$ | 480,000   |                     |
| Railings for Clarifiers                                | \$ | 700,000   |                     |
| Renovating Incinerator Building                        | \$ | 700,000   |                     |
| 480 Electric Feed-Blower Building & Lab                | \$ | 1,100,000 |                     |
| Clear Water Discharge-Admin Reroute                    | \$ | 500,000   |                     |
| Gravity Belt Thickener Expansion                       | \$ | 3,300,000 |                     |
| <b>Total Treatment Plant Improvements</b>              |    |           | <b>\$ 7,555,000</b> |

#### Sewers and Lift Stations

|  |    |            |                      |
|--|----|------------|----------------------|
| Other Trunk Participation and Misc. Projects | \$ | 400,000    |                      |
| McCurry Road Trunk Sewer (Rt 251 to Path)    | \$ | 100,000    |                      |
| Fuller Creek Trunk Extension                 | \$ | 10,700,000 |                      |
| McDonald Creek Trunk South Branch            | \$ | 250,000    |                      |
| Unsewered Area Program                       | \$ | 25,000     |                      |
| Projects - Miscellaneous Engineering         | \$ | 40,000     |                      |
| Special Assessment - Off Site                | \$ | 125,000    |                      |
| <b>Total Sewers and Lift Stations</b>        |    |            | <b>\$ 11,640,000</b> |

#### Collection System Rehabilitation

|  |    |           |  |
|--|----|-----------|--|
| Sewer System Lining Program                | \$ | 3,100,000 |  |
| Service Lateral Lining                     | \$ | 600,000   |  |
| Collection System Rehabilitation           | \$ | 1,200,000 |  |
| Other Unidentified Collection System Rehab | \$ | 308,600   |  |
| Large Diameter Sewer Trunk Cleaning        | \$ | 300,000   |  |
| Spring Creek Trunk Replacement             | \$ | 6,000,000 |  |

|  |    |         |                      |
|--|----|---------|----------------------|
| Cameron Ave. (S. of Darwood)                         | \$ | 75,000  |                      |
| S. Main St. Sewer Rehab. Cedar-Ogilby                | \$ | 600,000 |                      |
| Creek Crossing Protection Program                    | \$ | 75,000  |                      |
| Interstate Diversion Basin Flow Monitor & Smoke Test | \$ | 30,000  |                      |
| W. Jefferson St. Rehab. Main to Winnebago            | \$ | 210,000 |                      |
| Brookview Rd / Spring Creek Sewer Rehab              | \$ | 280,000 |                      |
| Renrose & Rock System Rehab                          | \$ | 280,000 |                      |
| <b>Total Collection System Rehabilitation</b>        |    |         | <b>\$ 13,058,600</b> |

**Replacement Projects**

|   |    |           |                     |
|---|----|-----------|---------------------|
| Other Unidentified Projects                       | \$ | 250,000   |                     |
| Machesney Park Lift Station Screening Replacement | \$ | 650,000   |                     |
| Plant Concrete Repair                             | \$ | 1,300,000 |                     |
| Chlorination Repairs and Tank Replacement         | \$ | 80,000    |                     |
| Abandon Winnebago Plant & Pump Station Upgrade    | \$ | 340,000   |                     |
| Lift Station Generator Replacement                | \$ | 500,000   |                     |
| Cherry Valley Lift Station Parallel Forcemain     | \$ | 2,790,000 |                     |
| Snow Avenue HVAC Upgrades                         | \$ | 850,000   |                     |
| Parkson Screen Repairs                            | \$ | 500,000   |                     |
| Grit Building HVAC Replacement                    | \$ | 200,000   |                     |
| RAS Pump Replacement or Repair                    | \$ | 500,000   |                     |
| Dewater Centrifuges                               | \$ | 520,000   |                     |
| Aeration Drop Header Rotork Replacement           | \$ | 60,000    |                     |
| Roof Replacement                                  | \$ | 220,000   |                     |
| <b>Total Replacement Projects</b>                 |    |           | <b>\$ 8,760,000</b> |

**Contingency** **\$ 1,500,000**

**Equipment**

|   |    |           |                     |
|---|----|-----------|---------------------|
| Engineering equipment                     | \$ | 110,000   |                     |
| Information Technology Services equipment | \$ | 1,029,817 |                     |
| Laboratory and Waste Processing equipment | \$ | 320,000   |                     |
| Vehicles and Heavy Equipment              | \$ | 1,486,600 |                     |
| <b>Total Equipment</b>                    |    |           | <b>\$ 2,946,417</b> |

|   |           |                   |
|---|-----------|-------------------|
| <b>Total Capital Improvement &amp; Replacement Projects</b> | <b>\$</b> | <b>45,460,017</b> |
| <b>Loan Payments</b>  | <b>\$</b> | <b>7,334,606</b>  |
| <b>TOTAL GENERAL OPERATIONS</b>                             | <b>\$</b> | <b>75,344,203</b> |

**PUBLIC BENEFIT FUND:**

**Public Benefit Participation in New Special Assessment Projects:**

|  |    |         |                     |
|--|----|---------|---------------------|
| Harlem Roscoe Fire Station #2                | \$ | 885,000 |                     |
| Harlem Roscoe Fire Station #3                | \$ | 132,000 |                     |
| <b>Total New Special Assessment Projects</b> |    |         | <b>\$ 1,017,000</b> |
| Carryover Projects (Fisher / Haskell)        |    |         | <b>\$ 235,000</b>   |

|                             |                      |
|-----------------------------|----------------------|
| <b>TOTAL PUBLIC BENEFIT</b> | <u>\$ 1,252,000</u>  |
| <b>TOTAL APPROPRIATIONS</b> | <u>\$ 76,596,203</u> |

**SECTION III.** All unexpended balances of any item or items appropriated by this Ordinance may be expended in making up any deficiency in any item or items aforesaid in accordance with law.

**SECTION IV.** This ordinance, in draft form identified as Tentative Combined Budget and Appropriation Ordinance, Ordinance No. 16/17-B-02, was made available for public inspection for not less than 30 days after its passage and approval. The Clerk of said District published a Notice of a public hearing held on said Tentative Combined Budget and Appropriation Ordinance; said meeting was held on May 22, 2017, at 5:15 o'clock p.m. in the offices of the Rock River Water Reclamation District, 3501 Kishwaukee Street, Rockford, Illinois, in said District, and said Notice was published at least 30 days prior by publication in the Rockford Register Star, a newspaper published in said District as required by law.

**SECTION V.** The Clerk of said Rock River Water Reclamation District shall, within thirty days of its adoption, file with the County Clerk of Winnebago County, Illinois, a certified copy of this Ordinance, together with an estimate, certified by the Chief Fiscal Officer, of Revenues by Source anticipated to be received by the Rock River Water Reclamation District for the fiscal year beginning May 1, 2017, and ending April 30, 2018.

  
 \_\_\_\_\_  
 President, Board of Trustees

ATTEST:

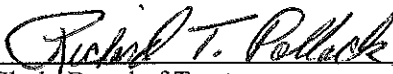
  
 \_\_\_\_\_  
 Clerk, Board of Trustees

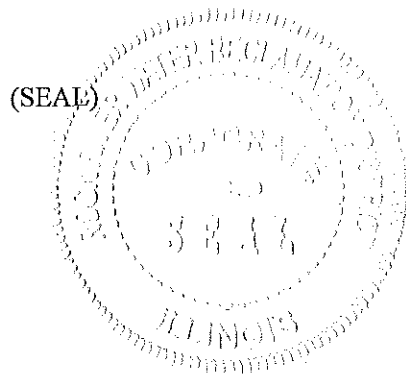
PASSED: *May 22, 2017*

**ORDINANCE CERTIFICATION**

I, Richard T. Pollack, Clerk/Treasurer of the Rock River Water Reclamation District, Rockford, Illinois, in Winnebago County, Illinois, do hereby certify that, as such officer, I am the keeper and custodian of the books, papers, records, and ordinances of said District; that the foregoing document entitled "A Combined Annual Budget and Appropriations Ordinance for the Rock River Water Reclamation District for the Fiscal Year Beginning May 1, 2017, and Ending April 30, 2018" and further identified as Ordinance No. 17/18-B-01 is a true and correct copy of the original ordinance, entitled "A Tentative Combined Annual Budget and Appropriations Ordinance for the Rock River Water Reclamation District for the Fiscal Year Beginning May 1, 2017, and Ending April 30, 2018" and identified as Ordinance No. 16/17-B-02, passed by the Board of Trustees of the Rock River Water Reclamation District in said County of Winnebago, at a regular meeting of said Board of Trustees held on February 27, 2017, as said original Ordinance appears in my office, and as the same appears in the Record Book of said Rock River Water Reclamation District.

IN WITNESS WHEREOF, I have hereunto set my hand and the official seal of the Rock River Water Reclamation District this 22nd day of May, 2017.

  
\_\_\_\_\_  
Clerk, Board of Trustees  
Rock River Water Reclamation District



**ESTIMATE OF REVENUE BY SOURCE  
 ANTICIPATED TO BE RECEIVED BY THE  
 ROCK RIVER WATER RECLAMATION DISTRICT  
 IN FISCAL YEAR 2017-2018**

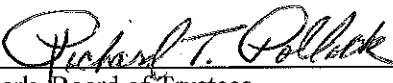
Estimated revenues by sources expected to be received during fiscal year 2016-2017 (beginning May 1, 2017, and ending April 30, 2018), by the Rock River Water Reclamation District:

|  |               |                      |                             |
|--|---------------|----------------------|-----------------------------|
| <b>User Charges for Wastewater Treatment</b> |               | \$ 33,363,742        |                             |
| <b>Taxes</b>                                 |               |                      |                             |
| Replacement Taxes                            | \$ 1,575,000  |                      |                             |
| Property Taxes:                              |               |                      |                             |
| Corporate Levy                               | \$ 3,740,000  |                      |                             |
| Chlorination Levy                            | \$ 815,000    |                      |                             |
| Special Service Area Levy                    | \$ 1,800      |                      |                             |
| Public Benefit Levy                          | \$ 500,000    |                      |                             |
| <b>Total Taxes</b>                           |               | <u>\$ 6,631,800</u>  |                             |
| <b>Other Fees and Charges</b>                |               |                      |                             |
| Collection Charges and Penalties             | \$ 471,150    |                      |                             |
| Connection Fees and Frontage Charges         | \$ 475,400    |                      |                             |
| Inspection Fees                              | \$ 237,356    |                      |                             |
| Miscellaneous Other Charges                  | \$ 227,179    |                      |                             |
| <b>Total Other Charges</b>                   |               | <u>\$ 1,411,085</u>  |                             |
| <b>Other Receipts</b>                        |               |                      |                             |
| State Revolving Loan                         | \$ 23,780,000 |                      |                             |
| Commercial Loan / Bond Issue                 | \$ -          |                      |                             |
| Rental Income                                | \$ 152,000    |                      |                             |
| Interest                                     | \$ 94,286     |                      |                             |
| <b>Total Other Receipts</b>                  |               | <u>\$ 24,026,286</u> |                             |
| <b>Total Estimated Receipts All Funds</b>    |               |                      | <u><u>\$ 65,432,912</u></u> |

**CERTIFICATE OF ESTIMATE OF SOURCES OF REVENUE FOR THE  
ROCK RIVER WATER RECLAMATION DISTRICT  
FOR THE FISCAL YEAR 2017-2018**

I, Richard T. Pollack, Clerk/Treasurer of the Board of Trustees of the Rock River Water Reclamation District, located in the County of Winnebago, State of Illinois, do hereby certify that I am the Clerk/Treasurer of the Board of Trustees of such District and as the financial officer of the District: that the attached document entitled "Estimate of Revenue By Source Anticipated to be Received by the Rock River Water Reclamation District in Fiscal year 2017-2018" is a true and correct statement of the estimated revenues by source anticipated to be received by the Rock River Water Reclamation District during Fiscal Year 2017-2018, which fiscal year began May 1, 2017, and ends April 30, 2018.

IN WITNESS WHEREOF, I have hereunto set my hand and the official seal of said Rock River Water Reclamation District this 22<sup>nd</sup> day of May, 2017.

  
\_\_\_\_\_  
Clerk, Board of Trustees  
Rock River Water Reclamation District

(SEAL)

